

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752,834	01/03/2001	Simon F.T. Froom	608-241

**CONFIRMATION NO. 9975**

**FORMALITIES LETTER**



\*OC00000005791606\*

NIXON & VANDERHYE P.C.  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201

Date Mailed: 02/22/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Abstract must be on a separate sheet.

***A copy of this notice MUST be returned with the reply.***

03/21/2001 MBERHE 0000009 09752834

01 FC:101  
02 FC:105

710.00 op  
130.00 op

*B&P*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector \$

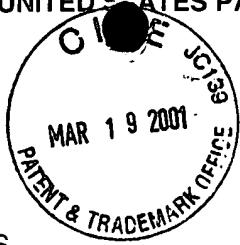
In re Patent Application of:

FROOM et al

Serial No. 09/752,834

Filed: January 3, 2001

For: ESTER SYNTHESIS



Attention: Application Branch

Atty. Dkt. 608-241

Date: March 19, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- Signed Rule 63 Declaration alone OR
- Signed Declaration plus attached copy of originally filed specification/drawings.
- NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form.
- Record and return the attached assignment.
- Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:

**Application Nos.**9815135.0  
PCT/GB99/02101**Country**

Great Britain

**Filing Date**14 July 1998  
1 July 1999

respectively.

 This application is based on the following prior provisional application(s):**Application No.**                           **Filing Date**

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s):  attached;  already filed on \_\_\_\_\_.  
in U.S. Application Serial No. \_\_\_\_, filed on \_\_\_\_\_.  
 The undersigned verifies that the above-identified application is identical to: PCT/GB99/02101 filed

1 July 1999, as amended on

 This application is entitled to "Small entity" status.    "Small entity" statement attached. Also attached: Submission of Priority Document; 1 priority document; Request for Correction of  
Filing Receipt; Corrected Official Filing Receipt**Fees are attached as calculated below:**

Basic filing fee	\$	710.00
Total Effective claims      15      - 20 =      0      x \$      18.00	\$	0.00
Independent claims      1      - 3 =      0      x \$      80.00	\$	0.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)	\$	0.00
<b>FILING FEE</b>	\$	710.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)	\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted	\$	130.00
<b>FIRST SUBTOTAL</b>	\$	840.00
If "small entity," enter half (½) of subtotal and subtract	\$	0.00
<b>SECOND SUBTOTAL</b>	\$	840.00
Assignment Recording Fee (\$40.00)	\$	40.00
<b>TOTAL FEE ENCLOSED</b>	\$	880.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.  
The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or  
which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our  
**Account No. 14-1140.** A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
Arlington, Virginia 22201-4714  
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LCM:lks

**NIXON & VANDERHYE P.C.**

By Atty: Leonard C. Mitchard, Reg. No. 29,009

Signature:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Patent Application of

FROOM et al

Serial No. 09/752,834

Filed: January 3, 2001

For: ESTER SYNTHESIS

Atty. Ref.: 608-241

Group:

Examiner:

\* \* \* \* \*

March 19, 2001

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

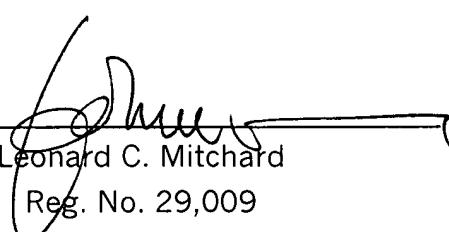
**REQUEST FOR CORRECTION OF FILING RECEIPT**

Enclosed is a copy of the Filing Receipt for the above-identified application which incorrectly states the filing date of the PCT application. Under the heading "Continuing Data as Claimed by Applicant" please change "07/14/1999" to read "**07/01/1999**". The correction is shown in red on the attached copy of the filing receipt. Please note your records accordingly. Correction of same is respectfully requested.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

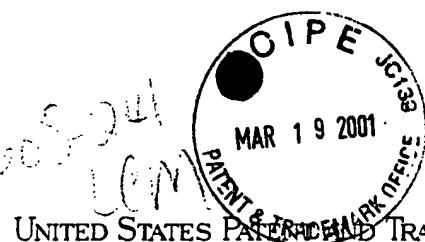
By: \_\_\_\_\_

  
Leonard C. Mitchard

Reg. No. 29,009

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/752,834	01/03/2001	1621	0.00	608-241		15	1

**CONFIRMATION NO. 9975**

**FILING RECEIPT**



'OC000000005791605'

NIXON & VANDERHYE P.C.  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201

Date Mailed: 02/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Simon F.T. Froom, East Yorkshire, UNITED KINGDOM;  
Stephen R. Hodge, East Yorkshire, UNITED KINGDOM;  
Witold F. Pacynko, East Yorkshire, UNITED KINGDOM;

**Continuing Data as Claimed by Applicant**

7/1/1999  
THIS APPLICATION IS A CON OF PCT/GB99/02101 07/14/1999

**Foreign Applications**

UNITED KINGDOM 9815135.0 07/14/1998

**Projected Publication Date:** To Be Determined - pending completion of Missing Parts

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Ester Synthesis

Preliminary Class

518

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Data entry by : ZEWDIE, BROUKTAWIT

Team : OIPE

Date: 02/22/2001